

MUNICIPAL COOPERATIVE HEALTH BENEFIT PLAN (MCHBP) — NEW YORK DATA REQUIREMENTS

2013 Plan Year - Quarterly Statement

FOR THE PERIOD ENDING September 30, 2013

OF THE CONDITION AND AFFAIRS OF _____
Affix Bar Code Above

Greater Tompkins County Municipal Health Insurance Consortium
(Name)

A Municipal Cooperative Health Benefit Plan organized under the laws of the State of New York

made to the New York State Department of Financial Services pursuant to the laws thereof.
(Appropriate Agency)

Date Certified As An MCHBP: October 1, 2010

Commenced Business: January 1, 2011

Mailing Address: c/o Jackie Kippola, Risk Manager, County of Tompkins, 125 East Court St, Ithaca, NY 14850

Address of Main Administrative Office: Same as Mailing Address

Telephone Number: (607) 274-5548 Employer's ID Number: 27-1447438

Principal Location of Books and Records: Same as Mailing Address

Name of Administrator: N/A

Contact Person and Phone Number: Donald Barber, Chairperson, (607) 539-3395

Service Areas (Counties): Tompkins County

OFFICERS*

President: Donald Barber, Chairperson Other Officers: M. Elizabeth Karns, Vice Chairperson

Secretary: Judith Drake

Chief Financial Officer: Steven P. Thayer, Controller, City of Ithaca, 1st Floor of City Hall, 108 East Green St, Ithaca, NY 14850

GOVERNING BOARD*

Name		Municipality
Svante L. Myrick	Mayor	City of Ithaca
Anita Fitzpatrick	Commissioner of Personnel	County of Tompkins
Donald Barber (Chairperson)	Supervisor	Town of Caroline
Laura Shawley	Asst. to Superintendent of Highways	Town of Danby
Mary Ann Sumner	Supervisor	Town of Dryden
Herb Masser	Town Councilperson	Town of Enfield
Glen Morey	Supervisor	Town of Groton
Judith Drake (Secretary)	Human Resources Manager	Town of Ithaca
Lucia Tyler	Town Councilperson	Town of Ulysses
M. Elizabeth Karns (Vice Chairperson)	Village Trustee	Village of Cayuga Heights
Charles Becker	Village Trustee	Village of Dryden
Charles V. Rankin	Village Clerk, Treasurer/Administrator	Village of Groton
Rordan Hart	Village Trustee	Village of Trumansburg
Chantalise DeMarco	President White Collar	CSEA, County of Tompkins
George Apgar	Union President	Ithaca Professional Firefighters Association
Mack Cook	Director of Finance	City of Cortland
Kathy Miller	Supervisor	Town of Lansing

STATE OF New York

COUNTY OF Tompkins

Donald Barber, President, Judith Drake, Secretary,
Steven P. Thayer, Chief Financial Officer (or Corresponding person having charge of the financial records of the MCHBP) of the Greater Tompkins County Municipal Health Insurance Consortium, being duly sworn, each for himself deposes and says that they are the above described officers of the said MCHBP, and that on the reporting period stated above, all of the herein assets were the absolute property of the said MCHBP, free and clear from any liens or claims thereon, except as herein stated, and that this Statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to is a full and true statement of all the assets and liabilities and of the condition and affairs of the said MCHBP as of the reporting period stated above, and of its income and deductions therefrom for the period reported, according to the best of their information, knowledge and belief, respectively.

Subscribed And Sworn To Before Me This 14th Day Donald Barber President
of November, 2013 Judith Drake Secretary
Catherine Covert Chief Financial Officer
NOTARY PUBLIC

(Seal)
CATHERINE COVERT
Notary Public, State of New York
No. 4983156
Qualified in Tompkins County
Commission expires June 24, 2015

(a) Is this an original filing? Yes [] No []

(b) If no: (i) state the amendment number _____
(ii) date filed _____
(iii) number of pages attached _____

*Revised 1997
*Show full name (initials not acceptable) and indicate by number sign (#) those officers and directors who did not occupy the indicated position in the previous statement.

REPORT #1 - Part A: ASSETS

	1	2
	Current Quarter	Previous Year
CURRENT ASSETS:		
1. Cash and Cash Equivalents	\$ 10,728,203	\$ 4,273,608
2. Short-term Investments	-	-
3. Premiums Receivable	37,076	-
4. Investment Income Receivables	-	-
5. Aggregate Write-ins for Current Assets	28,692	1,207,851
6. TOTAL CURRENT ASSETS (Items 1 to 5)	10,793,971	5,481,459
OTHER ASSETS:		
7. Long-term Investments	-	-
8. Aggregate Write-ins for Other Assets	2,228,757	2,227,154
9. TOTAL OTHER ASSETS (Items 7 and 8)	2,228,757	2,227,154
10. TOTAL ASSETS (Items 6 and 9)	\$ 13,022,728	\$ 7,708,613

REPORT #1 - Part B: LIABILITIES AND NET WORTH

	Current Quarter	Previous Year
	1	2
	Total	Total
CURRENT LIABILITIES:		
1. Accounts Payable	\$ 75,534	\$ -
2. Claims Payable (Reported and Unreported)	4,590,410	3,082,421
3. Claim Stabilization Reserve	-	-
4. Unearned Premiums	1,418,490	-
5. Loans and Notes Payable	-	-
6. Aggregate Write-ins for Current Liabilities	-	-
7. TOTAL CURRENT LIABILITIES (Items 1 to 6)	6,084,434	3,082,421
OTHER LIABILITIES:		
8. Loans and Notes Payable	-	-
9. Aggregate Write-ins for Other Liabilities	-	-
10. TOTAL OTHER Liabilities (Items 8 and 9)	-	-
11. TOTAL LIABILITIES (Items 7 and 10)	6,084,434	3,082,421
NET WORTH:		
12. Contributed Capital	-	-
13. Surplus Notes	-	-
14. Surplus per Section 4706(a)(5) **	1,733,777	1,428,777
15. Retained Earnings/Fund Balance	5,204,517	3,197,415
16. TOTAL NET WORTH (Items 12 to 15)	6,938,294	4,626,192
17. TOTAL LIABILITIES AND NET WORTH (Items 11 and 16)	\$ 13,022,728	\$ 7,708,613

**STATEMENT #2 - STATEMENT OF REVENUE, EXPENSES AND NET WORTH
INCLUDING MEDICARE AND MEDICAID**

	1	2	3	4
	Current Quarter	Year-to-Date	Current Quarter PMPM	Year-to-Date PMPM
MEMBER MONTHS	15,318	46,083		
REVENUES:				
1. Premiums (Basic) Community Rated	\$ 8,630,272	\$ 25,986,909	\$ 563.41	\$ 563.92
2. Investment	2,998	6,766	\$ 0.20	\$ 0.15
3. Aggregate Write-ins for Other Revenues	75,519	152,629	\$ 4.93	\$ 3.31
4. TOTAL REVENUES (Items 1 to 3)	8,708,789	26,146,304	\$ 568.53	\$ 567.37
EXPENSES:				
Medical and Hospital:				
5. Hospital and Medical	6,042,912	16,905,068	\$ 394.50	\$ 366.84
6. Drug	1,874,848	5,334,230	\$ 122.40	\$ 115.75
7. Aggregate Write-ins for Other Expenses	-	-	\$ -	\$ -
8. Subtotal (Items 5 to 7)	7,917,760	22,239,298	\$ 516.89	\$ 482.59
9. Reinsurance Expenses net of Recoveries	147,575	380,022	\$ 9.63	\$ 8.25
LESS:				
10. C.O.B. and Subrogation	-	-	\$ -	\$ -
11. TOTAL MEDICAL AND HOSPITAL (Items 8 and 9, less 10)	8,065,335	22,619,320	\$ 526.53	\$ 490.84
12. REVENUES LESS MEDICAL AND HOSPITAL (Item 4 less 11)	643,454	3,526,984	\$ 42.01	\$ 76.54
Administration:				
13. Compensation	-	-	\$ -	\$ -
14. Interest Expense	-	-	\$ -	\$ -
15. Occupancy, Depreciation, and Amortization	-	-	\$ -	\$ -
16. Marketing	-	-	\$ -	\$ -
17. Aggregate Write-ins for Other Administrative Expenses	419,167	1,214,882	\$ 27.36	\$ 26.36
18. TOTAL ADMINISTRATION (Items 13 to 17)	419,167	1,214,882	\$ 27.36	\$ 26.36
19. TOTAL EXPENSES (Items 11 and 18)	8,484,502	23,834,202	\$ 553.89	\$ 517.20
20. INCOME (LOSS) (Item 4 less Item 19)	224,287	2,312,102	\$ 14.64	\$ 50.17
21. Extraordinary Items	-	-	\$ -	\$ -
22. Provision for Federal Income Tax	-	-	\$ -	\$ -
23. NET INCOME (LOSS) (Item 20 less Items 21 and 22)	\$ 224,287	\$ 2,312,102	\$ 14.64	\$ 50.17

Exhibit I — ENROLLMENT DATA THIS QUARTER *

A	B Enrollment End of Previous Quarter	C Additions During Quarter	D Terminations During Quarter	E Net Enrollment End of Quarter	Member Months	
					F Current Quarter	G Year-to-Date
Community Rated	5,106	-	(6)	5,100	15,318	46,083

* Enrollment is calculated using total covered lives for the plan at the beginning and end of the quarter. Total contracts were 2,293 at 6/30/13 and 2,293 at 9/30/13 as well.

SECTION III — ANALYSIS OF UNPAID CLAIMS — PREVIOUS FISCAL YEAR

A Description of Claims	B Claims Paid During the Year*		C Claims Unpaid at End of Current Year Viz: Estimated Liability at End of Current Year		F Total Claims Paid During the Year and Claims Unpaid at End of Current Year on Claims Incurred in Prior Years (B + D)	G Estimated Liability of Unpaid Claims at End of Previous Year
	B On Claims Incurred Prior to Current Year	C On Claims Incurred During the Year	D On Claims Unpaid at End of Previous Year	E On Claims Incurred During the Year		
1. Hospital & Medical Claims	\$ 1,892,549	\$ 14,468,813	\$ 465,662	\$ 3,541,376	\$ 2,358,211	\$ 2,896,602
2. Drug Claims	141,054	4,504,857	17,695	565,677	158,749	185,819
3. Other	-	-	-	-	-	-
4. TOTAL	\$ 2,033,603	\$ 18,973,670	\$ 483,357	\$ 4,107,053	\$ 2,516,960	\$ 3,082,421