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**RESOLUTION NO. 008-2016 - AMENDMENT TO GREATER TOMPKINS COUNTY
MUNICIPAL HEALTH INSURANCE CONSORTIUM CODE OF
ETHICS POLICY AND DESIGNATING COMMUNITY
DISPUTE RESOLUTION CENTER AS NEUTRAL THIRD
PARTY**

MOVED by Mr. Thayer, seconded by Ms. Hersey, and unanimously adopted by voice vote by members present.

WHEREAS, Section 15 of the GTCMHIC Board of Directors Resolution 001-2014 regarding adoption of Code of Ethics reads as follows:

“Reporting of Ethics Violations. When becoming aware of a possible violation of the Consortium’s Code of Ethics, employees, Board of Directors, employees of members, and the public may report the matter to the Consortium Attorney-in-fact, John Powers, Esq.. In reporting the matter, members may choose to go on record as the complainant or report the matter on a confidential basis.”

WHEREAS, the Code of Ethics Policy is silent on the process for resolving if any violation has occurred and the possible remedy, and

WHEREAS, the Consortium’s Attorney-In-Fact has opined that the process for resolving a Code of Ethic’s violation necessarily falls within ambit of the alternative dispute resolution process codified at Article V of the Municipal Cooperative Agreement (MCA), and

WHEREAS the 2015 Amended Municipal Cooperative Agreement amended the original Article V to add “Board Member” and “Committee Person” as additional parties, in addition to any “Participant,” that would also be subject to the alternative dispute resolution process, and

WHEREAS, the Audit and Finance Committee has determined that disputes arising as a result of reported Code of Ethics violations could also involve persons who are not subject to MCA Article V and that with such persons, as well as Board Members and Committee Persons, mediation would provide a productive intermediate step to resolution prior to a formal finding and/or Board of Directors determination as part of the alternative dispute resolution process, and

WHEREAS, a neutral third party is desired to mediate and, if needed, conduct the review process, and make a recommendation for resolution to the Executive Committee as stated in 2015 Amended MCA Article V.3.a.(i), and

WHEREAS, the Community Dispute and Resolution Center of Tompkins County provides such services and is willing to serve in the neutral third party role for any Greater Tompkins County Municipal Health Insurance Company reported ethics violations, now therefore be it

RESOLVED, That the Audit and Finance Committee of the GTCMHIC Board of Directors hereby recommends that section 15 of the adopted Code of Ethics be amended to read:

