



Exclusion Screening for Contractors and Vendors Doing Business with Tompkins County Government

The Tompkins County Compliance Program calls for routine identification of compliance risk areas to help detect potential fraud or regulatory non-compliance. One approach to this monitoring process is the monthly screening of all contractors and vendors to ensure that they have not been involved in adverse governmental actions related to fraud or abuse of government funds, or, if applicable, have not been excluded from federally-funded healthcare programs.

What is our County Policy?

In June of 2011, the Tompkins County legislature formerly adopted an Exclusion Screening Policy that recognizes federal and state requirements to ensure that employees, providers, contractors, prescribing/authorizing physicians, etc., that provide and/or perform services for or on behalf of the County have not been the subject of adverse governmental actions that might put the County at risk. At the time of contract negotiations and monthly thereafter through to the end of the contract performance period, the County will conduct exclusion checks using the following sources to determine if a contractor or vendor appears on any of the lists:

- ◆ U. S. Department of Health and Human Services, Office of Inspector General (OIG)'s List of Excluded Individuals and Entities (LEIE) currently available on the website at <http://exclusions.oig.hhs.gov>
- ◆ The General Services Administration (GSA)'s Excluded Parties List System is currently available on the U.S. Government's System for Award Management (SAM) Website at www.sam.gov
- ◆ NYS Medicaid Fraud Database currently available on the NYS Department of Health website at <http://www.emedny.org/info/disqualified.html>

Contractors and vendors that are excluded from federal healthcare programs will be prohibited from conducting business with the County in any area that is directly or indirectly funded by a state or federal program that bars participation by such excluded entities.

What is your role as a contractor or vendor providing services or products to or on behalf of Tompkins County government?

- ✓ Read the County Compliance Program Document
- ✓ Read your specific contract document carefully
- ✓ Report to the County any concerns regarding waste, fraud, or abuse
- ✓ Ask questions or request additional information if needed.

Please visit the County Website for more information on our Compliance Program:

<http://www.tompkinscountyny.gov/>

Whom to contact if you have questions or need assistance?

Tompkins County Compliance Officer
Paula E.F. Younger
Deputy County Administrator
125 East Court Street, 3rd Floor
Ithaca, NY 14850
607-274-5551

Tompkins County Contracts Manager
Jackie Kippola
Department of County Administration
125 East Court Street, 3rd Floor
Ithaca, NY 14850
607-274-5548

OR

County Compliance and Breach Confidential Hotline—877-348-1396

The Hotline is equipped to handle reports from both identified and anonymous callers about any known or suspected violations of laws, regulations, or policies related to waste, fraud, or abuse of County funds and resources. The Hotline should also be used for reporting any breach of confidential, private, or personal health information.