



TOMPKINS COUNTY COMPLIANCE PROGRAM
FACT SHEET SERIES—ISSUE NO. 3 (Rev. 2014)
Think Compliance First!

The Tompkins County Compliance Committee

The County Compliance Committee is a ten-member working group appointed by the County Administrator. The role of the Compliance Committee is to advise and assist the County Administrator with implementation of the County Compliance Program, which includes:

- Reviewing and assessing existing policies and procedures that address compliance risk issues.
- Working with departments to develop standards and processes that address specific risk areas and encourage compliance according to legal and ethical requirements.
- Developing internal systems and controls to carry out compliance standards and policies.
- Monitoring internal and external reviews to identify potential non-compliant issues.
- Implementing corrective and preventive action plans.
- Developing a process to solicit, evaluate, and respond to complaints and problems.
- Assisting with staff training and outreach.

Committee Membership

County Compliance Officer

Paula E.F. Younger, Deputy County Administrator
125 East Court Street, 3rd Floor
Ithaca, NY 14850
607-274-5551

County Compliance Committee

Karen Baer, Director
Office of Human Rights

Patricia Carey, Commissioner
Social Services Department

Anita Fitzpatrick, Commissioner
Personnel Department

Karen Gonta
Healthcare Security & Privacy Officer and
PSWG Chair

Frank Kruppa, Director
Public Health Department

Greg Potter, Director
Information Technology Services

Sue Romanczuk, Commissioner
Mental Health Services Department

Rick Snyder, Director
Finance Department

Jonathan Wood, County Attorney

Privacy and Security Work Group

The Privacy and Security Work Group (PSWG) is a subset of the Compliance Committee that focuses specifically on issues regarding healthcare privacy and security compliance. In response to federal compliance mandates regarding The Health Information Technology for Economic and Clinical Health (HITECH) Act, an expanded part of The Health Insurance Portability and Accountability (HIPAA) Act of 1996, the general role of PSWG is to advise the larger Compliance Committee on all healthcare-related privacy and security issues including changes to the law, updated compliance mandates, etc. This helps ensure the protection of all Personal Health Information (PHI) across the entire organization. The Healthcare Security and Privacy Officer chairs the group and is responsible for taking the lead on PSWG initiatives.

The PSWG membership includes:

- Healthcare Security and Privacy Officer
- Public Health Administrator
- Mental Health Deputy Commissioner/Mental Health Compliance Officer
- Deputy Director of Information Technology
- Department of Social Services Commissioner
- County Compliance Officer—Member at Large
- Mental Health Commissioner—Member at Large

County Compliance and Breach Confidential Hotline

877-348-1396

The Confidential Compliance and Breach Hotline is equipped to handle reports from both identified and anonymous callers regarding any known or suspected violations of laws, regulations, or policies. The Hotline should also be used for reporting any breach of confidential, private, or personal health information.